Volume 8, No. 8, September-October 2017



International Journal of Advanced Research in Computer Science

# **RESEARCH PAPER**

# Available Online at www.ijarcs.info

# DEFECT IDENTIFICATION IN THE FRUIT APPLE USING K-MEANS COLOR IMAGE SEGMENTATION ALGORITHM

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Abstract: To propose a variation in the already existing algorithm to find whether an apple is defected or not, is the primary objective of this paper. The defected part on an apple's image is identified using K-means segmentation algorithm. Color images of Apples with certain defects are taken as input dataset for applying the newly proposed algorithm. Color components of an apple's image are used for segmentation. K-means clustering technique – an iterative process is used to partition an apple's image into k clusters. Pixels are clustered based on color intensity values and images are generated to identify the defected part. After detecting the defected part, image enhancement is done using median filter in order to enhance the defected part and which in turn will increase the efficiency of classification process in the proposed algorithm. The experimental study clarifies the effectiveness of both the already existing method and newly proposed method.

Keywords : Digital image processing, image segmentation , apple image, defect detection, k-means clustering.

## I. INTRODUCTION

Digital images are highly effective in conveying certain attributes that help in certain evaluations. In food science the process of identifying the defect in any fruit acts an important role. Quality of an apple fruit depend upon the size, color, shape and presence of defect. Different kinds of defects are rot, blotch, cork spots, scab, fungi attack, bitter pit, bruising, punches, insect holes and growth defects [fig.1.1]. Many research papers have proposed many machine vision methods for identifying and classifying apple defects, as detecting defects in fruits at an early stage can help reduce additional infection spreading to other parts of the fruit which will help the agricultural industry. Thus quality evaluation in terms of defect detection has proven to be a major research area in computer vision to get closer to human levels of recognition. Different applications related to food items are there that are based on image processing techniques. Some among them are applications in fruit grading , yield mapping , robot harvesting , leaves disease detection , weed detection, etc.,



Fig. 1.1 : Apple images with defects like rot, scab and corkspot

Major steps involved in any fruit defect identification process using image processing are image acquisition and preprocessing, followed by feature extraction and classification. In this paper, section II analysis related works that uses existing methods. Under section III the proposed methodology is explained in depth and under the section IV, discusses the experimental results and finally conclusion is presented in section V.

## **II. RELATED WORKS**

Since 1980's a lot of work was done for the quality evaluation of apples using digital image processing. Image acquisition is the method of acquiring the apples image. General method of capturing is capturing using a CCD camera or through some special mechanism. Preprocessing steps are carried out before extracting the required features from it. Usually the color images that are captured will be converted to a form that will help in easy extraction of features. Features like color, intensity, texture, shape etc., are extracted in order to differentiate normal apple images from the defected ones. Extracted features are given as inputs to the classifier in order to declare the desired result usually samples with defect and samples without defect.

Some of the research papers related to apple fruit defect detection are discussed below. Ghobad et al., [1] has proposed a method in which images of six Iran apples with some defect was acquired through 3-CCD matrix type camera from one-view wherein apple samples were kept in an illumination chamber in order to provide diffuse illumination over the fruit surfaces. They have observed that the color space L\*a\*b\* provides better feature space for segmentation of color images than other color spaces. Then the shape of apple images is extracted by Active Counter Model [ACM] algorithm. Background removing was done using ACM algorithm. The statistical histogram based EM algorithm .This method is based on the intensity of the image which counts the parameters pixel by pixel as a result it increased the convergence of the iteration faster rather than only using EM algorithm. 91.72% accuracy for healthy pixel and 94.86% accuracy for defected ones were observed. Also the proposed Statistical histogram based EM (SHEM) was better than the normal EM-based algorithm in terms of speed in the proposed paper.

R.Sivamoorthi et al., [2] in their work, aquired 20 normal apple images and converted them to L\*a\*b\* color space for removing unwanted pixels. They have used Local binary pattern algorithm for extracting features. Also they have used color histogram, color invariant for extraction and these images were stored in database as training image features. Features of the test image are extracted and are saved as test image features. The authors passed the training image features and the test image features into a classifier. The Neural network classified the image into disease affected and not affected image. If the given image is abnormal the images were segmented using K-means segmentation algorithm. Then the type of the disease is identified using Multi SVM classifier. They observed 93% accuracy in finding the defected one but Local binary pattern algorithm was slow for classification.

V.Leeman at al.,[3] acquired images of 725 bicoloured jonagold apples using a lighting tunnel, a three CCD colour camera , a frame grabber and a PC with resolution of 3.6 pix/mm<sup>2</sup> . All the apples were categorized as two different types of samples. They have extracted the features using frequency distribution and probability theorems. The segmentation process based on non-parametric color models of the healthy tissue did not have enough accuracy. The authors, considered the segmentation of the defects as a classification process of each pixel into 'healthy' or 'defect' class based on the pixel's colours. Baye's theorem gave the probability for a pixel that belonged to the healthy tissue based on co lour. The independent parameters were estimated as : P(x/healthy), P(healthy) and P(x/defect). Whereas 69% healthy fruits have less than 5% of surface defect and 88% for less than 10% defect of accuracy was obtained by the authors in their proposed method.

V.Leemans et al., [4] used a prototype grading machine covering the whole surface of the 100 apples for acquiring its images. Then they extracted features like colour (mean value), shape ( $4^{th}$  root area), texture (standard deviation) position(Cartesian co-ordinates) and were sent as input parameters to the classifier. They used K-mean clustering method for each fruit and for each of these clusters, the sum of the posteriori classification probabilities and standard deviation were computed giving a static table comprising 2 x n<sub>c</sub> parameters to characterize the fruit. Finally the fruits were graded in-line using the parameters of the discriminant analysis. 73% accuracy was observed this case

D.Unay et al., [5] in their proposed method used 229 jonagold and golden delicious apple image samples. The preprocessing technique used was low pass filtering and band pass filtering.Features like color, texture were extracted by the authors using Fourier, wavelet and cosine transformation. Segmentation of apple images into the determined class was done manually by an image processing software. The features were normalized before it was sent as input to neural network system. First co-variance matrix of the feature set was calculated and then the matrix of the eigen vectors of this covariance matrix was multiplied with the feature set producing transformed feature set whose components are uncorrelated and ordered according to the magnitude of the variance. Then they classified the segmented images using different test. Three-class SLP test, three-class MLP test and Three-class homogeneous sampling test. All the three tests were compared. The neural network in this study is composed of perception neurons with an adaptive supervised learning back-propagation algorithm.Finally 89.9% overall accuracy and 83.7% accuracy in finding defected apples was observed.

K Sindhi, et al., [6] in their survey paper compared the various apple fruit quality evaluation methods proposed by various other authors.Comparision was done under the headings type of input images, no. of apple samples, pre processing techniques used, feature extraction, classifier used and finally results. the S R Dubey et al., [7] in their paper they have used K-Means clustering method for infected fruit part detection. Sarthak Panda [8] in his paper compared the performance of various segmentation techniques for color images. Also segmentation by k-means clustering and thresholding techniques were compared for their performance in segmentation of color images.

H Chen et al., [9] proposed the use of visible color difference in a new quantitative evaluation scheme for color image segmentation. According to visual perception segmented results were evaluated as under segmented and over segmented. V.Seenivasagam et al., [10] discussed about conventional segmentation algorithms and softcomputing approaches for segmentation. A suvey report was also presented.

J Fan, et al., [11] proposed a new automatic image segmentation method.Color edges in an image are first obtained automatically by combining an improved isotropic edge detector and a fast entropic thresholding technique. Also semantic human objects are generated by a seeded region aggregation procedure which takes the defected faces as object seeds. N Dhanachandra et al.,[16] in their proposed method applied partial stretching enhancement to the input image to improve the quality of the image. Subtractive clustering method was used to generate the initial centers and then these centers were used in k-means algorithm for segmentation of image.

Seema at al., [17], used k-means clustering method is used for segmentation to extract region of interest from background. Color features are extracted from RGB image and HIS image. Then morphological features are calculated from RGB image. Later nearest neighbor classifier was used to classify. They got 100% accuracy. Devrim et al., [18] proposed an artificial neural network-based segmentation and apple grading by machine vision and they obtained 90% recognition. Malay K D et al., [19] proposed an image processing based method to assess fish quality and freshness. Wavelet domain coefficient was used for analysis of the acquired image. Also they used Haar filter for defining the freshness ranges.

# **III.PROPOSED METHODOLOGY AND DISCUSSION**

## 3.1 K-MEANS CLUSTERING

Clustering method is a procedure in which a data set says pixels are exchanged by cluster. Pixels may belong together because of same color, texture etc., helping us in identifying the diseased part in any fruit.

In processing food images clustering is an efficient method. This method classifies pixels into different groups called clusters, in such a way that each pixels share some common trait. Clustering is done using some distance calculating measurement. The computational task of partitioning the pixel set into k subsets is often referred to unsupervised learning. It is a very fast procedure and also an attractive one. Clustering algorithm assumes that a vector space is formed from the pixel features and tries to identify clustering in them.

The objects are clustered around the centroids  $\mu_i \forall i = 1...k$  which is computed by minimizing the following-objective

$$V = \sum_{i=1}^{k} \sum_{x_j \in s_i} (x_j - \mu_i)^2$$
(1)

In (1) k is the number of clusters i.e. Si , i = 1,2,3..k and  $\mu$  is the mean point or centroid of all points  $x_i \in s_i$ .

K-means algorithm is as follows : [7]

Step 1: Compute the distribution of the intensity of pixel values.

Step 2: Using k random intensities initialize the centroids. Step 3:Repeat the step 4 and step 5 until the labels of the cluster do not change any more.

Step 4: Cluster the image points based on the distance of their intensity values from the centroid intensity values

$$\mathbf{C}^{(1)} = \arg\min_{\mathbf{j}} \|\mathbf{x}\mathbf{i} - \boldsymbol{\mu}\mathbf{j}\|^2$$

Step 5: Compute new centroid for each cluster  $\mu_i = \frac{\sum_{i=1}^{m} 1\{c(i)=j\}x_i}{\sum_{i=1}^{m} \frac{1}{i} \sum_{i=1}^{m} \frac{1}{i} \sum_{$ 

$$_{i} = \frac{\sum_{i=1}^{m} 1\{c(i) - j\}x_{i}}{\sum_{i=1}^{m} 1\{c(i) = j\}}$$
(2)

In (2) k is the no. of clusters, i iterates over all the intensity values, j iterates over all centroids and  $\mu$  represents the centroids.

#### 3.2 L\*a\*b COLOR SPACE

When color histogram in different color were investigated it was observed that L\*a\*b provided better feature space for segmentation of color images. It was recommended by CIE in 1976 as a way of representing acquired color and their difference. L\* is the lightness factor, a\* and b\* are the chromaticity co-ordinates.

L\*(lightness) axis – 0 is black; 100 is white.  

$$L^* = 116(\frac{y}{vn})^{1/2} - 16$$

(3)

a\* (red-green) axis – positive values are red and negative values are green and 0 is neutral.

$$a^* = 500 \left[ \left(\frac{x}{xn}\right)^{1/2} - \left(\frac{Y}{Yn}\right)^{1/2} \right]$$
(4)

b\* (yellow-blue) axis – positive values are yellow and negative values are green and 0 is neutral.

$$b^* = 500 \left[ \left( \frac{Y}{Yn} \right)^{1/2} - \left( \frac{Z}{Zn} \right)^{1/2} \right]$$
(5)

#### **3.3 MEDIAN FILTER**

Median measures the intensity level of pixel which separates the high intensity value pixels from lower intensity value pixels. It is also a type of order statistic filter. The most popular and useful of the rank filters is the median filter. It works by selecting the middle pixel value from the ordered set of values within the m x n neighborhood 'W' around the reference pixel. If mn is the even number, the arithmetic average of the two values closest to the middle of the ordered set is used instead. It is represented as

 $f(x, y) = median\{g(r,c)|(r,c)\in W\}$ (6) This filter simply sorts all values within a window, finds the

median value and replaces the original pixel value with the median value

## **3.4 PROPOSED ALGORITHM**

The main aim of this proposed method is to implement an algorithm which will enhance the segmented image, so that it will help in accurate classification of an apple which has some defect.

Step 1: Read an apple's image

Step 2: Convert the given image from RGB color space to  $L^*a^*b$  color space.

Step 3: Apply and segregate the colors using K-means

clustering method using Euclidean distance metric.

Step 4: Label each pixel in the image using the results of Kmeans

Step 5: Generate images that segment the input image by color.

Step 6: Segmented Image is then filtered by applying median filter

Step 7: Enhanced image is then given as input to the classification procedure.

#### **IV. EXPERIMENTAL RESULTS**

A sample of 12 defected apples of which 4 with rot disease, 4 with scab disease, 4 with cork spot disease were taken and the exiting method as well as proposed method was applied and certain visual difference in the outputs were observed. Later, Statistical measures like mean , standard deviation and image quality assessment metrices like mean square error (mse) and peak signal-to noise ratio (psnr) were calculated to measure the output image's quality.

Mean value gives the contribution of individual pixel intensity for the entire image. Standard deviation is the most widely used measure to find the variability or diversity in statistics. In image processing terms, it shows how much variation or dispersion exists from the mean intensity value. A low standard deviation indicates that the data points tend to be very close to the mean, whereas high standard deviation indicates that the data points are spread out over a large range of values. Mathematically standard deviation is calculated as

$$f(x,y) = \sqrt{\frac{1}{mn-1} \sum_{(r,c) \in W} \left[ g(r,c) - \frac{1}{mn-1} \sum_{(r,c) \in W} g(r,c) \right]^2}$$
(7)

 $MSE-Mean\ Square\ Error$  , is computed by averaging the squared intensity of the original input image and the output image pixels

$$MSE = \frac{1}{NM} \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} e(m, n)^{2}$$
(8)

In equation (8) e(m,n) is the error difference between the original and the distorted image. PSNR is a mathematical measure of image quality calculated, based on the pixel difference between two images. PSNR is calculated as

PSNR = 
$$10 \log \frac{s^2}{MSE}$$
, (9)  
In equation (9), s= 255 for an 8 bit image. 6

## 4.1 Results obtained:

The following images are the output images obtained by applying both existing and proposed methods:

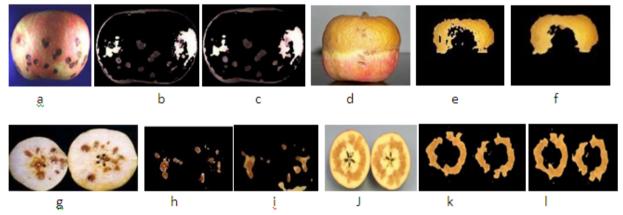


Fig. 4.1.1: a, d, g, j: Apple images with cork spot disease and b, e, h, k : Existing method's outputs and c, f, g, l : Outputs of the proposed method

From observing Fig. 4.1.1 it is obvious that the proposed method's images c, f, g , l when compared to the existing method's output b, e, h, k, the clarity of the segmented images are good. All the above images are apple images with cork spot disease.

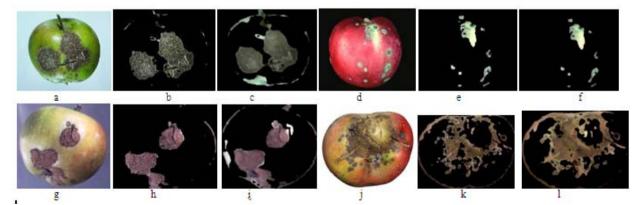


Fig. 4.1.2: a, d, g, j : Apple images with scab disease and b, e, h, k: Existing method's outputs and c, f, g, l, : Outputs of the proposed method

Similarly, if the above fig. 4.1.2 is observed, input images a, d, g, j with scab diseases, when compared with the output images c, f, g, l of proposed method it is clear that the inputs are segmented and filtered accurately.

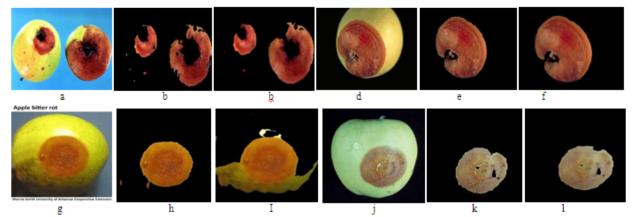


Fig. 4.1.3: a, d, g, j: Apple with cork spot disease and b, e, h, k : Existing method's outputs and c, f, g, l : Outputs of the proposed method

Even in the above fig.4.1.3., images of apples with rot disease a, d, g, j were taken as samples, and the proposed method was applied. Outputs that were obtained give us a clear picture of the defected area.

## 4.2 Statistical measures

Table 4.2.1 : Mean and Standard deviation values for the given images, segmented images using existing method and proposed method

	Mean µ			Standard Deviation $\sigma$		
<b>Apple Samples:</b>		Segmented			Segmented	
	Given image	Existing	Proposed	Given image	Existing	Proposed
with rot 1	145.4864	155.4747	156.0017	77.8499	120.2607	119.4962
2	58.738	157.2831	155.3474	72.0443	118.5333	118.8978
3	126.3816	156.0154	159.4797	81.9051	120.9657	117.7338
4	92.84	155.5514	155.8278	78.9334	120.5613	120.2553
with scab 1	156.3941	166.4449	168.1842	71.1059	118.2567	1162465
2	69.9982	149.4912	149.1026	75.6198	124.7534	124.7009
3	134.5001	153.3049	156.3767	46.7645	121.4584	119.0942
4	141.66	156.7907	159.8098	76.0452	118.0069	114.9476
with corkspot 1	120.3146	157.2221	157.3846	70.0068	121.6322	121.0046
2	141.592	206.4122	206.2533	58.7396	97.8712	97.4553
3	131.0152	191.5636	191.8917	90.7189	109.1097	108.3157
4	167.492	156.7165	159.3571	51.4028	120.3222	118.5752

Table 4.2.1 tabulates the mean pixel value and standard deviation of pixel values. These first order statistical measures help in analyzing the segmented images.

		mean square error(MSE)		PSNR	
Apple samples		Exiting	Proposed	Existing	Proposed
with rot 1		1.87E+04	1.84E+04	5.4234	5.4883
	2	2.90E+04	2.90E+04	3.5023	3.5139
	3	1.93E+04	1.86E+04	5.2652	5.4453
	4	3.05E+04	3.01E+04	3.3093	3.3416
with scab 1		1.41E+04	1.35E+04	6.6478	6.8278
	2	3.24E+04	3.22E+04	3.0295	3.0533
	3	1.80E+04	1.73E+04	5.5798	5.7477
	4	1.53E+04	1.36E+04	6.2981	6.7979
with corkspot 1		2.49E+04	2.46E+04	4.1622	4.2178
	2	1.15E+04	1.16E+04	7.529	7.4998
	3	3.05E+04	3.07E+04	3.2911	3.2661
	4	2.34E+04	2.20E+04	4.438	4.6908

Table 4.2.2 : MSE and PSNR values of se	gmented images obtained as output	using existing and proposed method

The error measures like MSE and PSNR help in analyzing the quality of segmented image. The following bar charts depict the difference in MSE values and PSNR values between the existing method and proposed method.

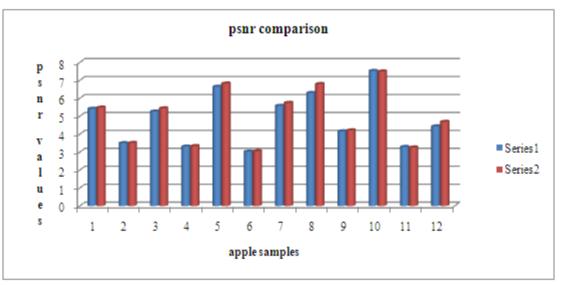


Fig. 4.2.1: PSNR values of all 12 samples with respect to both the algorithms. Series 1: PSNR values of images of existing method Series 2: PSNR values of images of proposed method

In the above fig. 4.2.1, apple samples 1,2,4,6,9,11 shows minute difference and apple samples 3,5,7,8,10, 12 shows little bit more difference. This is due to the clarity of the input image. If series one and series two bar heights are observed in the above figure, series two bars are comparatively taller than series 1 for all samples, which implies that PSNR values of the proposed method is greater than the existing method. Greater the PSNR value greater the quality of the output image. This in turn implies that the proposed method is better than the existing one.

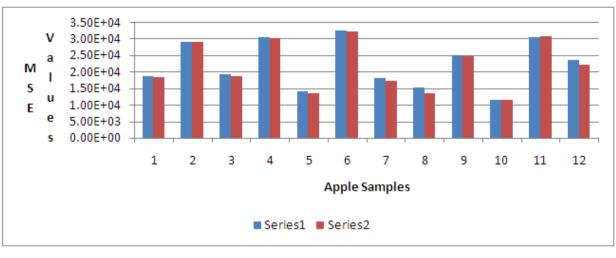


Fig. 4.2.2: MSE values of all 12 samples with respect to both the algorithms.Series 1: MSE values of images of existing methodSeries 2: MSE values of images of proposed method[3] V.Leem

Apple samples1,3,4,5,7,8,12 showed lesser MSE values when compared to apple samples 2,6,9,11. This too is because of input samples clarity. If series one and two bar heights are observed in the above figure, series two bars are comparatively taller than series 1 which implies that MSE values of the proposed method is Lesser than the existing method. If the MSE value is less, then the quality of the output image is high. This in turn also implies that the proposed method is better than the existing one.

Even visually, when observed the proposed method produces an image with high intensity level with clearer segmented image which will increase the accuracy rate of the classification process. Average Accuracy of the proposed method is more when compared to the existing method and it is calculated as

Average accuracy =  $[CSI / N] \times 100$ 

Where CSI is the total number of correctly segmented images and N is the total number of images used. Average accuracy of the proposed method is 91.67%

#### V. CONCLUSION

Experimental results on the apple's data set indicate that the proposed method is better than the existing one. Also segmented images quality is based on the input images clarity. In future primary images can be acquired and analyzed. Also by properly selecting and implementing other segmentation methods along with other filtering methods the quality of the output can be further improved, so that it will help in accurate classification process.

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