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A Generalized Hill Cipher Involving Different Powers of a Key, Mixing and Substitution

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Abstract: In this paper we have generalized the classical Hill cipher by including certain additional features. In this the plaintext block is divided into several matrices. Here we have found several keys by finding different powers of a single key and using modular arithmetic. Then each plaintext matrix is converted into its corresponding ciphertext matrix. On arranging all these ciphertext matrices into a single matrix, we have got the ciphertext. In this analysis we have made use of mixing and substitution for strengthening the cipher. The cryptanalysis carried out in this investigation clearly indicates that the cipher is a strong one.

Keywords: Plaintext, ciphertext, encryption, generalized Hill cipher, decryption, cryptanalysis, avalanche effect.

I. INTRODUCTION

The study of the Hill cipher [1], which had its origin several decades back, has brought in a revolution, in the recent years, in the development of block ciphers in cryptography. Several authors [2-16] have studied different aspects of this cipher by using a single key, by modifying the key in different ways and by applying more than one key on the plaintext (on both the sides of the plaintext). In addition to multiplication with a key or with a pair of keys, they have introduced several other features such as permutation, mixing and substitution in each round of the iteration process. All these features which are introduced into these investigations create confusion and diffusion and strengthen the cipher significantly.

The basic relations governing the Hill cipher are $C = KP \mod 26$ (1.1)

and

 $P = K^{-1}C \mod 26,$ (1.2)

Where P is the plaintext column vector, K the key matrix, C the ciphertext, and K^{-1} is the modular arithmetic inverse of K.

In the present paper, our objective is to develop a block cipher of the form

$$C_i = K_i P_i \mod N, \qquad i=1, 2... s,$$
 (1.3)

and

 $P_{i} = [K_{i}]^{-1} C_{i} \mod N, \quad i=1,2...s, \quad (1.4)$ where P_{i} is the ith portion of the plaintext,

 K_i the ith power of the key matrix,

 C_i the ith portion of the ciphertext, corresponding to P_i , and s denotes the number of sub matrices of the plaintext.

N is a positive integer chosen appropriately. We take N=256. Here $[K_i]^{-1}$ is the modular arithmetic inverse of the i^{th} power of K.

In the development of the cipher, we use an iteration process. Here we make use of a function called Compose () for combining portions of the plaintext into a single matrix. Further, we use a pair of functions called Mix(), and

Substitute () for transforming the plaintext (in a thorough manner) before it becomes ciphertext. In the light of these facts, the equations governing the encryption can be written in the form

$P_i = K_i P_i \mod N,$ i=	=1, 2 s,	(1.5)
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 $P = Compose (P_i), \tag{1.6}$

$$P = Mix (P), \tag{1.7}$$

$$P = Substitute (P).$$
(1.8)

At the end of the iteration process, we get the ciphertext C. The equations governing the decryption can be written in the form

C = Isubstitute (C)	(1.9)
C = Imix(C)	(1.10)

$C_i = Decompose (C),$	(1.)	11)

 $C_i = \text{Decompose (C)},$ (1.11) $C_i = [K_i]^{-1} C_i \mod N, i=1, 2...n,$ (1.12)

After carrying out the iteration process, finally we get back $P_{i,}$ and hence we obtain P. The processes Compose(), Mix() and Substitute() are explained later. The functions Isubstitute(), Imix() and Decompose() denote the reverse processes of the functions Substitute(), Mix() and Compose() respectively. Here our interest is to develop a block cipher wherein the size of the plaintext and the size of the ciphertext are quite up to the mark.

In what follows we mention the plan of the paper. In section 2 we discuss the development of the cipher, and present the flowcharts and algorithms governing encryption and decryption. In section 3, we illustrate the cipher with a suitable example. Here we also study the avalanche effect. Then we deal with the cryptanalysis in section 4. Finally, we discuss the computations carried out in this analysis and draw conclusions from the results.

II. DEVELOPMENT OF THE CIPHER

Consider a plaintext matrix P whose size is nxn. Let us divide this into s sub matrices wherein each sub matrix is a

square matrix of size m. This is possible when n is divisible by m. Here we can write $s = n^2/m^2$.

Let us consider a key matrix K whose size is mxm. On applying the encryption process governed by (1.3), we get s ciphertext portions. On placing all these portions in an appropriate manner by using the function Compose (), we get a single matrix. Then we apply Mix () and Substitute (), in each round of the iteration. Thus we get the final form of the cipertext. On adopting the decryption process, we get back the original plaintext. The details of the functions, Compose (), Mix () and Substitute () will be explained in section 3 in which the illustration is given.

The flow charts and algorithms for the encryption and the decryption are given below.

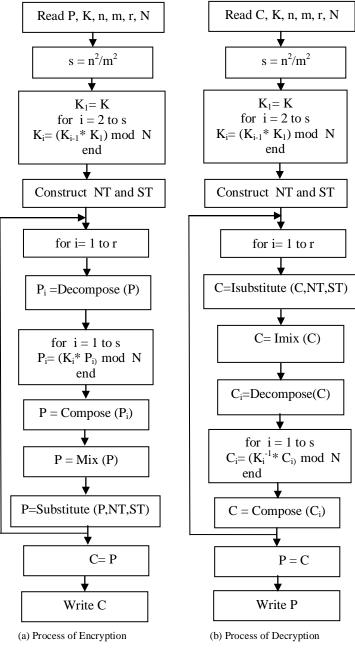


Figure.1 Schematic diagram of the Cipher

NT and ST are a pair of tables which are explained later. Here r denotes the number of rounds in the iteration process and it is taken as 16. Further, we have N=256 as we have used EBCDIC code in the development of the cipher. In this analysis, the number of rounds, denoted by r, is taken as 16.

Algorithm for Encryption

- a. Read P,K, n, m, r, N
- b. $s = n^2/m^2$
- c. $K_1 = K$
- d. for i = 2 to s $K_i = (K_{i-1} * K_1) \mod N$ end
- e. Construct NT and ST
- f. for i = 1 to r

$$P_{i} = Decompose (P)$$

for i = 1 to s
$$P_{i} = (K_{i} * P_{i}) \mod N$$

end
$$P = Compose (P_{i})$$

$$P = Mix(P)$$

$$P = Substitute (P,NT,ST)$$

end
$$C = P$$

Write C

Algorithm for Decryption

- a. Read P,K, n, m, r, N
- b. $s = n^2/m^2$

g.

h.

- a. $K_1 = K$
- b. for i = 2 to s $K_i = (K_{i-1} * K_1) \mod N$ end
- c. Construct NT and ST
- d. for r = 1 to r C=Isubstitute (C, NT, ST) C=Imix (C) C_i=Decompose(C) for i = 1 to s C_i= (K_i⁻¹* C_i) mod N end C = Compose (C_i) end e. P = C f. Write P
 - III. ILLUSTRATION OF THE CIPHER

Consider the plaintext given below.

Daddy! I married the brother-in-law as you insisted. It is very unfortunate! Though I told you several times that I would not go to India, you forced me and made me to come here on account of this marriage relationship. My brother-in-law is beautiful and having a fine personality as you said. But my life has become a hell. He goes to his organization right in the morning by 9 o' clock and comes back home by 12 o' clock late in the night. He does not allow me to go to any job. Though I am well qualified and having my MS degree of America. I am not allowed to try for any employment. He says very firmly that his father and mother, who are quite old must be taken care through all the day. He proclaims that he is an Indian and no Indian can allow his father and mother to stay in the old-age home. Now I want to take divorce and come abroad. Daddy! Do remember, comfort in life must be for both the parties in marriage. I have already contacted a well known lawyer to come out of this problem. Yours daughter... (3.1) Let us focus our attention on the first 256 characters of the above plaintext. It is given by "Daddy! I married the brotherin-law as you insisted. It is very unfortunate! Though I told you several times that I would not go to India, you forced me and made me to come here on account of this marriage relationship. My brother-in-law is beautiful and h".

On using EBCDIC code, we get the plaintext P in the form

ĺ	196	129	132	132	168	90	64	201	64	148	129	153	153	137	133	132	
	64	163	136	133	64	130	153	150	163	136	133	153	96	137	149	96	
	147	129	166	64	129	162	64	168	150	164	64	137	149	162	137	162	
	163	133	132	75	64	201	163	64	137	162	64	165	133	153	168	64	
	164	149	134	150	153	163	164	149	129	163	133	90	64	227	136	150	
	164	135	136	64	201	64	163	150	147	132	64	168	150	164	64	162	
	133	165	133	153	129	147	64	163	137	148	133	162	64	163	136	129	
P=	163	64	201	64	166	150	164	147	132	64	149	150	163	64	135	150	(3.2)
	64	163	150	64	201	149	132	137	129	107	64	168	150	164	64	134	
	150	153	131	133	132	64	148	133	64	129	149	132	64	148	129	132	
	133	64	148	133	64	163	150	64	131	150	148	133	64	136	133	153	
	133	64	150	149	64	129	131	131	150	164	149	163	64	150	134	64	
	163	136	137	162	64	148	129	153	153	137	129	135	133	64	153	133	
	147	129	163	137	150	149	162	136	137	151	75	64	212	168	64	130	
	153	150	163	136	133	153	96	137	149	96	147	129	166	64	137	162	
	64	130	133	129	164	163	137	134	164	147	64	129	149	132	64	136	ļ

Let the key matrix K be taken in the form

	196 38 204 45	224 25	77 105	140 152	(3.3)
K=	204 45	5 69	47 184	87 153	

On using $K_1 = K$ and the relation

 $K_i = (K_{i-1} * K_1) \mod N$ for i = 2 to 16, (3.4)

We get K_2 to $K_{16.}$ Let us now explain the procedures involved in the different functions, namely, Compose (), Mix () and Substitute () occurring in the encryption process. When

 $\begin{array}{l} P_1 = [P_{ij}], \ i=1 \ to \ 4 \ and \ j=1 \ to \ 4, \\ P_2 = [P_{ij}], \ i=1 \ to \ 4 \ and \ j=5 \ to \ 8, \\ P3 = [P_{ij}], \ i=1 \ to \ 4 \ and \ j=9 \ to \ 12, \\ P4 = [P_{ij}], \ i=1 \ to \ 4 \ and \ j=13 \ to \ 16, \end{array}$

 $P13 = [P_{ii}], i=13 \text{ to } 16 \text{ and } j=1 \text{ to } 4,$

P14 = $[P_{ij}]$, i=13 to 16and j= 5 to 8, P15 = $[P_{ij}]$, i=13 to 16and j= 9 to 12, and P16 = $[P_{ij}]$, i=13 to 16and j= 13to 16. On arranging these 16 matrices, in a particular way, we get

$$P = \begin{pmatrix} P_1 & P_2 & P_3 & P_4 \\ P_5 & P_6 & P_7 & P_8 \\ P_9 & P_{10} & P_{11} & P_{12} \\ P_{13} & P_{14} & P_{15} & P_{16} \end{pmatrix}$$
(3.5)

The process involved here is called Compose (). Thus we get $P = [P_{ii}]$, i=1 to 16 and j=1 to 16.

In this analysis, the function Mix () is carried out as follows. Here the plaintext P is a square matrix of size 16, and it is of the form

 $P = [P_{ij}],$ i=1 to 16 and j= 1 to 16.

This can be written in the form of a matrix having 8 rows and 32 columns. Thus we get the plaintext matrix in the new form given by

$$P = [P_{ij}], \quad i=1 \text{ to } 8 \text{ and } j=1 \text{ to } 32.$$
 (3.6)

On writing each element of (3.6) in its binary form, we get a matrix having 8 rows and 256 columns . Thus we have

$$\mathbf{P} = \begin{pmatrix} \mathbf{P}_{111} \, \mathbf{P}_{112} & \dots & \mathbf{P}_{118} & \dots & \mathbf{P}_{1321} \, \, \mathbf{P}_{1322\dots} \, \, \mathbf{P}_{1328} \\ \mathbf{P}_{211} \, \mathbf{P}_{212} \, \dots & \mathbf{P}_{218} & \dots & \mathbf{P}_{2321} \, \, \mathbf{P}_{1322\dots} \, \, \mathbf{P}_{2328} \\ \ddots & & & & \\ \cdot & & & & \\ \mathbf{P}_{811} \, \mathbf{P}_{812} & \dots & \mathbf{P}_{818} \, \dots & \mathbf{P}_{8321} \, \mathbf{P}_{8322\dots} \, \mathbf{P}_{8328} \end{pmatrix}$$
(3.7)

Here $P_{111} P_{112}$... P_{118} are the binary bits of P_{11} . In a similar manner, we have the binary bits of the other elements. On taking the 8 binary bits of the first column, we get a decimal number. We call this as the new P_{11} . By considering the second column and performing in the same manner, we get the new P_{12} . On proceeding in a similar way, finally we get the 256th element which will be placed as the new P_{1616} . Thus we have the matrix P, which can be written in the form

 $P = [P_{ij}],$ i=1 to 16 and j= 1 to 16. (3.8) Here it is to be noted that all the elements of (3.8) are obtained by performing Mixing ().

Let us now discuss the process of substitution. Consider the numbers 0 to 255. We write them in the form of a matrix containing 16 rows and 16 columns. Let us denote this as NT. This can be written in the form

NT (u, v) = 16(u-1) + (v-1), u=1 to 16 and v=1 to 16. (3.9)

On taking all the 16 key matrices, obtained from (3.4), let us form a matrix of size 16x16 corresponding to these keys. In this formation, we take the elements of the first key matrix (of size 4x4) and place them in the first row of the 16x16 matrix, which we are forming, ignoring the numbers which are getting repeated. Similarly we place the elements of the second key matrix in the succeeding positions (taken in the row wise order) of the 16x16 matrix, of course, ignoring the repeated numbers, if any, in the second key matrix. We follow the same procedure with the rest of the key matrices and fill up the 16x16 matrix, partially or fully depending upon repetitions are there or not. However if it is partially filled up, we fill up the rest of the positions with the elements which are not occurring in the 16x16 matrix that we are forming. It may be noted that the rest of the elements with which we are filling up lie in the interval [0, 255]. Thus we get a key matrix, say ST, of size 16x16 wherein no repetitions are there.

Let us now consider the plaintext matrix P, which is obtained in a particular round of the iteration process of the encryption, after using Mix (). Now let us form the matrix corresponding to the substitution process denoted by Substitute (). This can be done by adopting the rule which is given below:

If P(i,j) = NT(u,v)

Then P(i, j) = ST(u, v).

In other words, the above relation can be mentioned as follows. If the ith row jth column element of P is equal to the uth row vth column element of the matrix NT, then the ith row jth column element of the plaintext ,that is P (i,j), is replaced by the uth row vth column element of the matrix ST. Thus we are able to carry out the substitution as we complete the process for i= 1 to 16 and j=1 to 16. As the formation of the substitution table is a simple one, we have avoided the details of this formation for brevity. Now on using the encryption algorithm given in section 2, we get the ciphertext C in the form

```
97 193 133 234 49 197 9 208 130 240 241 1 43 28 73 228
       48 0 211 46 18 72 52 170 232 142 139 55 84 173 91
    166 66 129 246 157 194 136 243 92 105 82 139 80 39 61 157
   152 159 174 12 243 21 151 216 113 188 98 177 25 59 83 40
    169 168 68 187 137 209 50 9 55 176 122 77 79 34 98 223
    161 113 198 165 81 136 38 85 224 104 244 157 138 223 254 169
    64 106 242 49 182 137 161 51 109 216 248 250 189 93 239 12
    160 115 144 206 223 23 23 5 93 57 237 228 111 130 41 196
   143 100 247 90 184 88 67 22 205 93 205 57 228 32 48 17
                                                             (3.10)
C =
    195 166 72 103 152 9 163 190 209 111 66 217 253 255 207 180
    183 185 247 24 242 161 107 207 156 217 127 117 24 194 78 24
    205 187 64 192 131 10 109 171 60 202 16 56 26 192 254 59
    172 123 46 63 6 238 43 177 231 201 7 209 106 66 38 225
    98 62 23 150 83 235 129 59 54 95 57 92 183 188 33 59
    9 238 110 140 5 138 178 225 21 32 212 23 100 107 12 166
   230 233 141 126 12 99 249 166 167 194 103 169 159 214 27 179
```

On carrying out the decryption process, by adopting the decryption algorithm, we get back the original plaintext.

Let us now examine the avalanche effect. On changing the first row tenth column element of (3.2) from 148 to 149, we get a one bit change in the plaintext. On using the modified plaintext, the keys given by (3.3) and (3.4) and applying the encryption algorithm, given in section 2, we get the corresponding ciphertext given by

ĺ	47	54	157	84	96	54	244	223	86	59	216	253	11	209	39	93	
	66	221	42	252	68	15	158	19	123	55	103	124	149	206	148	201	
	239	95	168	23	10	129	65	122	166	70	239	178	119	21	124	147	
	36	242	58	37	186	35	232	129	26	33	70	135	44	120	38	174	
	201	159	34	236	140	3	23	90	95	13	215	242	24	101	202	223	
	242	40	3	76	137	158	173	139	107	120	200	229	146	116	27	252	
	211	234	13	207	163	120	138	56	236	158	75	244	154	247	189	177	
	72	139	153	89	214	242	109	89	250	36	99	61	54	66	160	255	
C =	186	94	24	177	146	242	161	5	227	16	76	241	43	251	209	248	(3.11)
	87	64	1	88	98	142	104	61	95	35	102	118	50	137	73	33	
	51	218	46	49	237	158	164	202	109	117	81	233	234	57	198	183	
	41	9	90	139	233	13	252	109	13	230	188	131	84	120	95	230	
	169	16	246	181	102	27	124	165	169	139	57	128	14	28	77	69	
	157	73	239	73	142	167	167	190	115	204	14	159	251	192	85	197	
	81	40	4	251	74	239	107	223	53	170	2	60	195	244	98	221	
l	173	102	219	48	144	31	114	233	138	223	243	116	64	12	83	116	

On converting (3.10) and (3.11) into their binary form and comparing them, we notice that they differ by 1074 binary bits out of 2048 bits. This shows that the avalanche effect is quite good.

Let us now consider a one bit change in the key. This is achieved by replacing the first row third column element of the key matrix K, given by (3.3), from 77 to 76. On using the original plaintext, the modified key K (together with the corresponding values K_2 to K_{16}), we get the ciphertext in the form

	146	162	39	247	220	145	139	220	255	202	54	153	222	252	207	80	
	207	201	177	216	100	131	249	53	209	54	211	68	197	199	39	207	
	5	87	80	235	50	93	66	220	46	114	109	176	88	29	65	36	
	215	202	231	232	38	121	53	19	173	30	32	251	97	30	12	183	
	191	45	233	67	1	199	219	170	151	110	159	243	30	104	163	163	
	116	49	59	84	108	26	156	155	152	169	219	139	52	32	163	219	
	119	161	174	158	25	26	201	169	69	208	236	136	243	168	21	155	
C =	255	110	150	31	172	203	201	172	103	40	181	219	8	35	224	135	(3.12)
	18	216	227	245	242	235	142	220	167	174	206	74	84	216	125	152	
	93	28	231	199	205	236	203	21	252	79	19	75	125	249	41	25	
	80	44	204	76	101	1	169	219	35	112	62	46	153	159	196	201	
	71	252	159	34	130	223	203	154	111	223	153	120	251	122	12	146	
	123	33	188	59	246	69	219	169	63	221	100	185	158	154	207	91	
	64	56	181	191	62	218	19	156	31	188	147	107	171	51	154	36	
	92	61	205	31	104	100	38	23	186	223	203	183	127	158	95	21	
	29	48	150	168	144	61	181	133	148	88	117	144	168	172	63	216	

On comparing the ciphertexts (3.10) and (3.12) in their binary form, we find that they differ by 1032 bits out of 2048 bits. This also shows that the avalanche effect is quite significant. In the light of the above analysis, we conclude that the strength of the cipher is expected to be very good.

IV. CRYPTANALYSIS

In the literature of cryptography, it is well known that the strength of a cipher can be determined by carrying out cryptanalysis. The different types of cryptanalytic attacks are as follows.

- a. Cipertext only attack (Brute force attack)
- b. Known plaintext attack
- c. Chosen plaintext attack and
- d. Chosen ciphertext attack.

Generally every cipher is to be designed so that it withstands the first two attacks [1].

In this analysis, as the key contains 16 decimal numbers, the size of the key space is

 $2^{128} = (2^{10})^{12.8} \approx (10^{\frac{1}{3}})^{12.8} = 10^{38.4}.$

If we assume that the time required for the computation of the cipher with one value of the key is 10^{-7} seconds, then the time required for the computation with all the possible keys in the key space is approximately equal to

$$\frac{10^{38.4} \times 10^{-7}}{365 \times 24 \times 60 \times 60} = 3.12 \times 10^{38.4} \times 10^{-15} = 3.12 \times 10^{23.4} \text{ years}$$

Thus as the time required for the entire computation is very large, we cannot break this cipher by the brute force attack.

Let us now consider the known plaintext attack. In this case, we know as many plaintext and ciphertext pairs as we want for the attack. Basing upon these pairs, we must be able to find a relation which determines the key or a function of the key for breaking the cipher.

To carry out the known plaintext attack, in this investigation, let us consider the example given in the illustration. In this the plaintext P is divided into 16 matrices wherein each one is a square matrix of size 4. Here we have 16 key matrices denoted by $K_1, K_2...K_{16}$. All these matrices are obtained basing upon the key K.

Let us suppose that we carry out only one round in the iteration process. The equations governing this process are

$P_i = K_i P_i \mod N$,	i=1, 2 16,	(4.1)
$P = Compose (P_i)$		(4.2)
P = Mix(P)		(4.3)
P=Substitute(P,NT,ST)		(4.4)
C=P		(4.5)

From the equation (4.1) and (4.2), we get

$$P = \begin{bmatrix} K_1 P_1 & K_2 P_2 & K_3 P_3 & K_4 P_4 \\ K_5 P_5 & K_6 P_6 & K_7 P_7 & K_8 P_8 \\ K_9 P_9 & K_{10} P_{10} & K_{11} P_{11} K_{12} P_{12} \\ K_{13} P_{13} & K_{14} P_{14} & K_{15} P_{15} & K_{16} P_{16} \end{bmatrix} \text{mod } N$$
(4.6)

Thus from the equations (4.3) - (4.6), we get a relation of the form

 $C = S (M(F (K_1 P_1, K_2 P_2, ... K_{15} P_{15}, K_{16} P_{16}, mod N))) (4.7)$

Where M and S are written for Mix() and Substitute() respectively for elegance. F is used to denote a function having all the entities in (4.6) as variables.

Here as the plaintext and the ciphertext are known to us, we have $P_{1,}P_{2} \dots P_{16}$ and C. From the equation (4.7), we find that it is simply impossible to find K (=K₁) as mod N is there, the Mix() function is mixing all the elements in F by

converting them into binary bits, and the Substitute() is mapping the resulting elements into some other elements. This is the conclusion that we are able to arrive at even by carrying out only one iteration. We cannot say what happens to K after performing all the 16 rounds involved in the iteration process. Thus it is totally impossible to break the cipher by the known plaintext attack.

On looking at the encryption algorithm, we do find that it is not possible to choose, intuitively, a plaintext or a ciphertext and break the cipher. Thus chosen plaintext attack or chosen ciphertext attack cannot be applied in any way.

V. COMPUTATIONS AND CONCLUSIONS

In this paper we have developed a block cipher by generalizing the classical Hill cipher. In this the plaintext is decomposed into a set of matrices. Several key matrices are developed taking a single key and using the modular arithmetic. The corresponding ciphertexts are obtained by applying the relations of the classical Hill cipher. Finally a single ciphertext is generated by arranging the portions of the ciphertext obtained earlier. This process is repeated in the iteration scheme. In each iteration, we have employed two functions namely Mix() and Substitute() for achieving diffusion and confusion.

Computer programs are developed for encryption and decryption by using MATLAB [17].

The plaintext (3.1) is divided into 4 blocks, wherein each block is having 256 characters, and the last block is appended with 7 blanks so that it becomes a complete block (consisting 256 characters). On using the encryption algorithm, given in section 2, we get the ciphertext corresponding to the entire plaintext (excluding the ciphertext of the first block) in the form

10	230	126	207	53	149) 14	27	129	113	95	126	201	197	143	90
191	146	152	84	43	205	5 36	145	150	253	118	199	17	150	6	142
172	250	109	60	167	92	247	126	124	185	54	200	49	96	24	116
100	61	213	236	22	4	212	177	246	227	214	236	142	117	239	108
96	127	37	149	27	104	74	35	131	138	239	89	153	18	136	59
91	44	202	253	81	134	135	247	226	25	173	155	102	109	197	206
92	63	49	63	88	144	47	134	204	181	41	8	34	151	112	137
146	182	251	196	36	192	159	196	238	187	58	148	21	195	61	194
190	101	235	41	6	59	98	82	214	47	153	68	243	29	172	78
185	204	6	226	25	187	63	100	165	202	91	80	51	74	87	176
63	190	189	118	60	251	224	143	109	201	212	248	206	105	206	181
158	170	75	182	67	39	127	150	164	178	154	85	169	94	156	81

From the cryptanalysis, we have found that the strength of the cipher is remarkable. This has become possible as we have handled portions of the plaintext in arriving at the ciphertext. The inclusion of Mix() and Substitute() functions really enabled us to enhance the strength of the cipher.

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